

Appendix A - Statutory Requirements

This appendix cross references the specific pages of this report to the reporting requirements prescribed by Public Law 108-106 Section 3001.

Statutory Requirements

Cross-Reference of Report

Supervision			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (e)(1)	Report directly to, and be under the supervision of the head of the CPA	Report to CPA Administrator	19-20
Duties			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (f)(1)	Conduct, supervise and coordinate audits and investigations of the treatment, handling and expenditure of appropriated funds by the CPA in Iraq, and of the programs, operations, and contracts carried out in utilizing such funds including -	- Review appropriated funds - Programs, operations, contracts utilizing appropriated funds	25-40
Sec. 3001 (f)(1)(A)	...the oversight and accounting of the obligation and expenditure of such funds;	Review obligations and expenditures of appropriated funds	25-40
Sec. 3001 (f)(1)(B)	...the monitoring and review of reconstruction activities funded by such funds;	Review reconstruction activities funded by appropriations	25-40
Sec. 3001 (f)(1)(C)	...the monitoring and review of contracts funded by such funds;	Review contracts using appropriated funds	38-40
Sec. 3001 (f)(1)(D)	...the monitoring and review of the transfer of such funds and associated information between and among the CPA, other departments, agencies and entities of the Federal Government, and private and nongovernmental entities; and	Review transfers internal and external of appropriated funds	25-40
Sec. 3001 (f)(1)(E)	the maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such funds.	Maintain records	16-24
Sec. 3001 (f)(2)	Establish, maintain, and oversee such systems, procedures, and controls as the Inspector General considers appropriate	Establish, maintain and oversee systems, procedures, and controls	16-24
Sec. 3001 (f)(3)	...the duties and responsibilities ... under the Inspector General Act of 1978	Traditional IG duties	8-9
Sec. 3001 (f)(4)&(5)	Coordinate with and receive cooperation of IG of DoD and IG of USAID	Links to DoD IG; USAID IG	42-46

Personnel, Facilities, and Other Resources			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (h)(4)(A)	Upon request of the Inspector General for information or assistance from any department, agency, or other entity of the Federal Government, the head of such entity shall, insofar as is practicable and not in contravention of any existing law, furnish such information or assistance to the IG...	• Establish working group	16-18
Reports			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (i)(1)...	Not later than March 30, 2004, and every calendar quarter thereafter, the Inspector General shall submit to the appropriate committees of Congress a report	• Report – March 30, 2004 • Report – June 30, 2004	1-2
Sec. 3001 (i)(1) (cont.)	...summarizing the activities of the Inspector General and the Coalition Provisional Authority during the 120-day period ending on the date of such report.	• Summarize activities of IG and CPA	5-24
Sec. 3001 (i)(1) (cont.)	Each report shall include, for the period covered by such report, a detailed statement of all obligations, expenditures, and revenues associated with reconstruction and rehabilitation activities in Iraq, including the following:	• Detailed statement of all obligations, expenditures, and revenues	25-40
Sec. 3001 (i)(1)(A)	Obligations and expenditures of appropriated funds.	• Obligations and expenditures of appropriated funds	25-40
Sec. 3001 (i)(1)(B)	A project-by-project and program-by-program accounting of the costs incurred to date for the reconstruction of Iraq,	• Project-by-project and program-by-program accounting of costs	App. H App. I
Sec. 3001 (i)(1)(B)	together with the estimate of the Coalition Provisional Authority of the costs to complete each project and each program.	• Estimate cost to complete each project or program.	38

<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (i)(1)(C)	Revenues attributable to or consisting of funds provided by foreign nations or international organizations, and any obligations or expenditures of such revenues.	Revenues, obligations, and expenditures of donor funds	28-37 App. E
Sec. 3001 (i)(1)(D)	Revenues attributable to or consisting of foreign assets seized or frozen, and any obligations or expenditures of such revenues.	Revenues, obligations, and expenditures of funds from seized or frozen assets	28-37 App. C App. D
Sec. 3001 (i)(1)(E)	Operating expenses of the Coalition Provisional Authority and of any other agencies or entities receiving appropriated funds.	Operating expenses of CPA or any organization receiving appropriated funds	35
Sec. 3001 (i)(1)(F)	In the case of any contract described in paragraph i(2)(*see Sec. 3001 (i)(2) below)		n/a
Sec. 3001 (i)(1)(F)(i)	The amount of the contract or other agreement;	Amount of each contract or other agreement	38-40 App. H App. I
Sec. 3001 (i)(1)(F)(ii)	A brief discussion of the scope of the contract or other agreement;	Brief discussion of each contract scope	38-40 App. H App. I
Sec. 3001 (i)(1)(F)(iii)	A discussion of how the Coalition Provisional Authority identified, and solicited offers from, potential contractors to perform the contract, together with a list of the potential contractors that were issued solicitations for the offers; and	- Discussion of: - How potential contractors were identified - How offers were solicited - List of contractors that were issued solicitations	38-40 App. H App. I
Sec. 3001 (i)(1)(F)(iv)	The justification and approval documents on which was based the determination to use procedures other than procedures that provide for full and open competition.	Justification and approval documents for contracts that were not full and open competitions	38-40 App. H App. I
Sec. 3001 (i)(2)	A contract described in this paragraph	Describes a contract	
	is any major contract or other agreement that is entered into by the Coalition Provisional Authority with any public or private sector entity for any of the following purposes:		n/a

<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (i)(2)(A)	To build or rebuild physical infrastructure of Iraq.	• Describes a contract	n/a
Sec. 3001 (i)(2)(B)	To establish or reestablish a political or societal institution of Iraq.	• Describes a contract	n/a
Sec. 3001 (i)(2)(C)	To provide products or services to the people of Iraq.	• Describes a contract	n/a
Sec. 3001 (i)(3)	Not later than June 30, 2004, and semiannually thereafter, the Inspector General shall submit to the appropriate committees of Congress a report meeting the requirements of section 5 of the Inspector General Act of 1978.	• Submit semiannual IG report to Congress on June 30, 2004 and semiannually thereafter	8-9
Sec. 3001 (i)(4)	The Inspector General shall publish each report under this subsection in both English and Arabic on the Internet website of the Coalition Provisional Authority.	• CPA-IG will take final written report in electronic format - translate and post to Web site.	24
Sec. 3001 (i)(5)	Each report under this subsection may include a classified annex if the Inspector General considers it necessary.	• If necessary, classified annex to be developed.	n/a
Report Coordination			
<i>Section</i>	<i>Statute</i>	<i>CPA-IG Action</i>	<i>Page #</i>
Sec. 3001 (j)	IG shall also submit report to the head of the CPA	• Copy of report to Amb. Bremer	19-20